

Appendix 1: External inspections, reviews and audits recommendations/areas for improvement – Updates as of 28 November 2025

Title and purpose	Date	Outstanding recommendations	Original target date for completion	Revised target date for completion	Status	Progress update
Children and Young People's Services						
<p>Ofsted Focused Visit</p> <p><i>To review the arrangements for children in need or subject to a child protection plan.</i></p> <p><i>Usually undertaken every three years as part of the ILACS Inspection Programme.</i></p>	<p>Focused visit: 14 and 15 May 2024</p> <p>Published: 12 July 2024</p>	<p>Overall outcome: The response to children who are subject to child-in-need and child protection planning is very effective. A stable senior leadership team is unstinting in its determination to make children in Rotherham safer and improve their outcomes. Strong corporate support ensures a whole-council approach to understanding children's vulnerability and responding to risk and need. Four areas for improvement were identified.</p> <p>Overall progress: An action plan is in place to address the recommendations from the peer review. Progress is overseen by the CYPS Evidence Challenge Panel and CYPS DLT. One area for improvement is complete.</p> <p>Area for improvement 1: Identify children's unique needs and characteristics to better inform their plans and how they will be helped and supported.</p> <p>And</p> <p>Area for improvement 4: Reduce length of children's plans as these are overly long.</p> <p>Note: The progress updates for Area for Improvements 1 and 4 have been combined as they relate to both areas for improvement.</p> <p>Area for improvement 2: Reduce Child and Adolescent Mental Health Services (CAMHS) waiting lists.</p>	Oct-24	Revised target TBC	Significant delay	<p>This delay is specific to two plans – children in care and child protection. Whilst progress has been made on both, they are still in the development/test phase across CYPS and One Support.</p>

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Ofsted Area SEND inspection of Rotherham Local Area Partnership <i>To review the special educational needs and/or disabilities (SEND) arrangements.</i>	Inspection date: 30 September 2024 - 4 October 2024 Report published: 14 November 2024	<p>Overall outcome: The local area partnership's special educational needs and/or disabilities (SEND) arrangements typically lead to positive experiences and outcomes for children and young people with SEND. The local area partnership is taking action where improvements are needed. The next full area SEND inspection will be within approximately five years. Ofsted and the Care Quality Commission ask that the local area partnership updates and publishes its strategic plan based on the recommendations set out in this report. Two areas for improvement were identified.</p> <p>Overall progress: The final report and recommendations from the inspection were published on the 14 November 2024. As recognised in the report, work was already ongoing prior to the inspection in relation to the two areas for improvement and will continue. Progress will be monitored by the local area SEND and AP Partnership board and overseen by the CYPS Evidence Challenge Panel and SEND Executive Board.</p>	Area for improvement 1: <ul style="list-style-type: none"> New and revised EHCPs are consistently compliant with statutory guidance. The target is for 50% to be compliant by December 2025; and 60% compliant by September 2026. 	September 2026	N/A	In Progress and On Track
	Area for improvement 2: <ul style="list-style-type: none"> Improve oversight of waiting lists through regular reporting to SEND Partnership Board. Evidence sustained compliance to a trajectory to reduce neurodevelopmental assessment waiting times. Evidence sustained compliance to a trajectory to reduce occupational therapy waiting times. 	March 2026	N/A	In progress and on track		

Title and purpose	Date	Outstanding recommendations	Original target date for completion	Revised target date for completion	Status	Progress update						
		<ul style="list-style-type: none"> Evidence sustained compliance to a trajectory to reduce waiting times for speech and language therapy. 										
Adult Care, Housing and Public Health												
Housing Revenue Account (HRA) Business Plan Review The review was commissioned by the Housing Service and carried out by Savills. The aim of it was to: Carry out a full review of business plan assumptions Provide advice on reserves levels, insurance approaches and stock investment assumptions (while awaiting stock condition data) Review the current development viability model (ongoing) Provide benchmarking information.	November 2024	<p>Overall outcome: Key messages on reviewing the 2024/25 HRA Business Plan assumptions:</p> <ul style="list-style-type: none"> Viable but prudent business plan. Ambitious development programme compared to other authorities of a similar size. Lower than average level on capital investment in existing stock. Opportunity to utilise revenue resources within the business plan in a different way Adopt a strategic approach moving forwards to assess capacity and headroom within the business plan. This will be done through an Investment Framework consisting of a number of metrics. Carry out scenario modelling and stress testing to understand how changing assumptions impact the HRA Business Plan and identify risks. <p>Overall Progress: A HRA Business Plan governance structure has been put in place via the HRA Business Plan Review Group. The Group meets quarterly and is chaired by the AD of Housing/AD of Finance. The group review the performance of the HRA against the HRA Business Plan, ensuring the strategic financial management of the HRA Business Plan over the medium/long term.</p> <p>An Investment Framework Workplan has been put in place and progress is monitored via the HRA Business Plan Review Group</p> <table border="1"> <tr> <td data-bbox="527 992 887 1246">Recommendation 1: - Metrics, capacity & headroom</td><td data-bbox="887 992 1066 1246">April 2025</td><td data-bbox="1066 992 1246 1246">March 2026</td><td data-bbox="1246 992 1426 1246"></td><td data-bbox="1426 992 2189 1246"> In progress and on track Working with Finance, metrics have been developed to monitor the financial performance of the business plan. This, alongside stress testing, has been used to inform the 2026/27 HRA Business Plan. This has included identifying headroom for future investment in both new and existing stock. </td></tr> <tr> <td data-bbox="527 1246 887 1411">Recommendation 2: - Stress Testing & Risk</td><td data-bbox="887 1246 1066 1411">April 2025</td><td data-bbox="1066 1246 1246 1411">March 2026</td><td data-bbox="1246 1246 1426 1411"></td><td data-bbox="1426 1246 2189 1411"> In progress and on track The stress testing of HRA Business Plan assumptions has been included as part of the 2026/27 HRA Business Plan process. The outcomes will inform a risk register which will </td></tr> </table>	Recommendation 1: - Metrics, capacity & headroom	April 2025	March 2026		In progress and on track Working with Finance, metrics have been developed to monitor the financial performance of the business plan. This, alongside stress testing, has been used to inform the 2026/27 HRA Business Plan. This has included identifying headroom for future investment in both new and existing stock.	Recommendation 2: - Stress Testing & Risk	April 2025	March 2026		In progress and on track The stress testing of HRA Business Plan assumptions has been included as part of the 2026/27 HRA Business Plan process. The outcomes will inform a risk register which will
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Recommendation 2: - Stress Testing & Risk	April 2025	March 2026		In progress and on track The stress testing of HRA Business Plan assumptions has been included as part of the 2026/27 HRA Business Plan process. The outcomes will inform a risk register which will								

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						be reviewed and monitored by the HRA Business Plan Review Group.	
Adult Social Care Peer Review (led by the Association of Directors of Adult Social Services - ADASS)	January 2025	<p>Overall outcome: An outcome, in terms of a rating, is not provided. However, key messages, areas of strength and areas for consideration are provided:</p> <ul style="list-style-type: none"> • Strong political and corporate support for adult social care and confidence in the adult social care leadership team to deliver. • Strong relationships with partners, demonstrated through the work of the Safeguarding Adults Board and the shared commitment to continued investment in prevention and health partnerships (amongst many examples). • Evidence that a person-centred and strengths-based approach is becoming increasingly embedded. • Access to and investment in learning and development opportunities and the learning and development team. • A robust approach to quality and risk management, with providers appreciating the benefit of high support - high challenge. • Zero delays for home care and good capacity for supported living for some people. • Celebrate more the good work that is happening. • Robust assurance and performance system in place. More focus is needed on articulating the outcomes and experience of people. • Further work to embed the voice of people with lived experience in day-to-day work and change initiatives. • Recruitment and retention is a challenge, although the existing workforce are committed and proud to work in Rotherham - "I wish I had come here earlier", "We want the best for people", "I love the people I work with", "Best job ever". <p>Overall progress: Recommendations cover the 4 themes against which CQC will assess local authorities: Working with People, Providing Support, Ensuring Safety and Leadership. The programme of work is being overseen by the Adult Social Care Regulatory Assurance Board.</p>	THEME 1: Working with People	March 2026	N/A		In progress and on track
		THEME 2: Providing Support	March 2026	N/A		In progress and on track	
		THEME 3: Ensuring Safety	December 2025	N/A		Complete	
Formal full CQC Inspection of Davies	September 2025	Overall outcome: Overall rating of GOOD. Recommendations were made relating to medication storage, administration of thickener and the embedding of audits.				In progress and on track	

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Court (The Care Quality Commission (CQC))		<p>Overall progress: Changes have been made to how thickener is administered and who can prescribe this. The medication check-in process has been updated to reflect feedback from the inspection process.</p>				
<i>Comprehensive inspection assessing that the service is providing care that's safe, caring, effective, responsive to people's needs and well-led.</i>		Theme 1 – Safe: Improvements could be made to the storage of prescribed thickeners for people's drinks, body maps for pain patches and protocols for 'as and when' medication.	November 2025	N/A		Complete
		Theme 2 – Well-led: New systems need to be embedded for audits and reviews and sustained into practice.	January 2026	N/A		Complete
Regeneration and Environment						
Sports Ground Safety Authority – Local Authority Audit (Sports Ground Safety Authority (SGSA))	29 August 2024	<p>Overall outcome: Excellent progress has been made with the recommended actions from the previous audit with all items being completed satisfactorily. The Council was rated as low risk and three recommendations were made.</p> <p>Overall progress: Recommendations one and three have been accepted the one remaining outstanding recommendation will be implemented within the next 6 months.</p>				
<i>An audit by the National regulator to assess the Council's delivery of statutory functions under the Safety at Sports Grounds Act 1975. The audits are carried out at a frequency determined by risk assessment.</i>		Recommendation 2: A tabletop exercise that included stadium staff and emergency services has not taken place for some time and the LA will ensure this is carried out by the club this season. (review date August 2026)	Review date August 2026	N/A		In progress and on track
Finance and Customer Services						

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<p>2023/24 Statement of Accounts (Grant Thornton)</p> <p><i>Annual audit of the 2023-2024 Value for Money Arrangements conducted by Grant Thornton.</i></p>	November 2024	<p>Overall outcome: The Council received a clean audit opinion again (unmodified), this is the best outcome that can be received on local authority accounts. The auditors were again positive in assessing the Council's Financial Controls, Governance and standing and praised the effective work of the team, senior management in finance in ensuring that continues to be the case in challenging conditions. The Council continues to be one of a handful of Council's that has all its accounts signed off, with many a number of years behind.</p> <p>There were five recommendations made to support the Council's work towards new accounting changes that have not yet come into force, along with suggested control improvement in the Council's IT environment.</p> <p>Overall progress: All recommendations are on track for delivery, with two completed already, the main work will be completed as part of the production of the 2024/25 accounts.</p>	<p>Rec 2: We recommend:</p> <ul style="list-style-type: none"> Management to further improve the valuation instructions to the in-house valuer by referencing for example, applicable LG Code guidance; and Council's RICS qualified valuation expert to prepare a formal Terms of Engagement document and agree with management, further to the receipt of management valuation instructions 	March 2026	N/A	Complete	
			In progress and on track				

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		implement suitable controls to limit access and monitor the usage of these accounts (i.e. through increased use of password vault tools / logging and periodic monitoring of the activities performed). Where monitoring is undertaken this should be formally documented and recorded				
		Rec 5: It is recommended that security event logs are reviewed on a regular basis for example daily or weekly, ideally by an IT security personnel / team who are independent of those administrating [the application] and its underlying database. Any issues identified within these logs should be investigated and mitigating controls implemented to reduce the risk of reoccurrence	March 2026	N/A		In progress and on track
2023/24 VFM Arrangements (Grant Thornton) <i>Required annually by legislation.</i>	November 2024	Overall outcome: The Council received a positive outcome in its Value for Money report from Grant Thornton. Findings noted the Council's improvement journey and commented that the Council's financial position is strengthening, though referenced that the Local Authority financial environment remains challenging with a number of Local Authorities issuing S114 notices due to the rising demand and inflation challenges facing the sector. The report noted the Council's robust Budget and Medium-Term Financial Strategy and its clear narrative about how the Council is addressing the challenges it faces and planned ahead coherently for the future.				

Title and purpose	Date	Outstanding recommendations	Original target date for completion	Revised target date for completion	Status	Progress update
		<p>The report also noted that the Council had identified some significant challenges around its buildings and housing stock linked to compliance and condition surveys that it had set out clear plans to address. To support the Council in dealing with these challenges Grant Thornton have put forward 4 key improvement recommendations working with Council officers.</p> <p>10 new recommendations made. 2 are key improvement recommendations and 8 are improvement recommendations where the Council can choose to implement or not.</p> <p>Overall progress: Work is underway to implement the 2 key improvement recommendations and give due consideration to 8 improvement recommendations as to whether the Council should implement these or not. One improvement recommendation has so far been considered and cleared (IR5) and as work progresses on the improvement recommendations options will be considered that will lead to either the implementation of the recommendation or the recommendation from Council officers that a recommendation is not implemented.</p>	<p>REC KR1:</p> <p>The Council needs to:</p> <ul style="list-style-type: none"> • continue improving its HRA compliance data robustness and validity. • ensure contract management arrangements are put in place with its HRA contractors. • improve compliance with decent homes standards. • work to improve its understanding of category 1 hazards in its housing stock. • continue improving vulnerability policies for the HRA in line with emerging best practice from the regulators. • use the stock condition data to inform its asset 	TBC	N/A	In progress and on track

Title and purpose	Date	Outstanding recommendations	Original target date for completion	Revised target date for completion	Status	Progress update
		<p>management and capital investment plans.</p> <p>Rec KR2:</p> <p>The Council should:</p> <ul style="list-style-type: none"> • Undertake stock condition surveys to develop its understanding of its assets, their state and their level of health and safety compliance. • Put in place management plans and landlord inspections where required and ensure it is getting value for money for its assets. • Ensure it has an assets management system for its properties and other assets and that data in the system is accurate, enabling management of health and safety compliance. • Ensure compliance contract management is put in place and regular performance monitoring of these contracts is put in place. 				In progress and on track

Title and purpose	Date	Outstanding recommendations	Original target date for completion	Revised target date for completion	Status	Progress update
		Rec IR1: The Council needs to develop a Capital Strategy	March 2026	N/A		Complete
		REC IR2: The Council could strengthen its risk policy by including risk escalation and de-escalation arrangements between the tiers of risk registers and including risk types and applying risk appetite to each risk type.	March 2026	N/A		Complete Note – although situated under FCS for the purposes of reporting, the Assistant Chief Executive's directorate are responsible for implementation of this recommendation.
		REC IR3: The Council should consider enhancing internal audit progress papers by giving more detail on completed audits including an executive summary of each report completed in the period in part 1 papers to the Audit Committee.	March 2026	N/A		Complete
		REC IR4: The Council should continue to strengthen its counter-fraud controls by developing a corporate counter-fraud risk register and ensuring counter-fraud risks in departmental risk registers are updated. It also needs to enhance its counter-fraud plan.	March 2026	N/A		In progress and on track
		Rec IR6: The Council should develop and publish a Procurement Strategy.	March 2026	N/A		In progress and on track

Title and purpose	Date	Outstanding recommendations	Original target date for completion	Revised target date for completion	Status	Progress update
		This should set procurement strategic priorities that align with the Council's priorities such as net zero and capture changes to procurement following the Procurement Act (2023) and the national Procurement Policy Statement (2024). It should include measurable actions and indicators with clear accountabilities and an annual review process. The Strategy should be widely communicated to staff and members to raise awareness of their responsibilities.				
		REC IR7: The Council should develop a corporate data quality policy and ensure this is used to inform a data quality review. It could look to the national data quality framework to guide this work.	March 2026	N/A		<p>In progress and on track</p> <p>Note – although situated under FCS for the purposes of reporting, the Assistant Chief Executive's directorate are responsible for implementation of this recommendation.</p>
		Rec IR8: The Council should put in place a corporate process to improve contract management and ensure contractor performance is effectively managed, and data is verified across the	March 2026	N/A		Complete

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		Council's contracts and that contracts are in place in highways.			Blue	
2024/25 Value for Money Arrangements (Grant Thornton) <i>Required annually by legislation.</i>	November 2025	<p>Overall Outcome: The Council received a positive outcome in its Value for Money report from Grant Thornton. Findings noted the Council's improvement journey and commented that the Council's financial position is strengthening, though referenced that the Local Authority financial environment remains challenging with a number of Local Authorities issuing S114 notices due to the rising demand and inflation challenges facing the sector. The report noted the Council's robust Budget and Medium-Term Financial Strategy and its clear narrative about how the Council is addressing the challenges it faces and planned ahead coherently for the future.</p> <p>The report also noted that the Council had identified some significant challenges around its buildings and housing stock linked to compliance and condition surveys that it had set out clear plans to address. To support the Council in dealing with these challenges Grant Thornton have continued to report 2 Key Recommendations.</p> <p>There are 7 Improvement Recommendations and 2 Key Recommendations, the majority of which are actions carried forward from the 2023/24 VFM report as the action required more than 12 months to deliver.</p>				
		<p>IR1: The Council should ensure financial sustainability fully address pressures faced in the short and medium-term. This includes:</p> <ul style="list-style-type: none"> • Placing an emphasis on delivering its agreed financial trajectory in line with the Safety Valve Agreement and consider alternative arrangements to lower its forecasted deficit for 2025/26. • Fully delivering its children and young people's services (CYPS) savings targets in 2025/26. 	March 2026	N/A	Green	In progress and on track
		IR2: The Council should ensure that regular reports	March 2026	N/A	Blue	Complete

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		to Cabinet include specific performance updates on major capital projects against planned expectations. At the appropriate stage, this should include assessments of both economic benefits delivered and financial returns compared to original projections. Insights from these evaluations should be used to inform the planning and delivery of future major capital investments.			In progress	
		IR3: Recommendation is the same as IR2 from the 2023/24 VFM arrangements.	March 2026	N/A	Complete	
		IR4: Recommendation is the same as IR4 from the 2023/24 VFM arrangements.	March 2026	N/A	In progress and on track	
		IR5: Recommendation is the same as IR6 from the 2023/24 VFM arrangements.	March 2026	N/A	In progress and on track	
		IR6: Recommendation is the same as IR7 from the 2023/24 VFM arrangements.	March 2026	N/A	In progress and on track	
		IR7: As part of ongoing improvements in contract	March 2026	N/A	In progress and on track	

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		<p>management, the Council should consider:</p> <ul style="list-style-type: none"> • Introducing contract tiering (gold/silver/bronze). • Managing contracts based on risk. • Seeking further assurance that new arrangements in place are embedded and effective. • Introducing reporting on waiver activity and SFI breaches to a relevant Member-led committee, at least annually and ideally more frequently, to allow enhanced monitoring and challenge of themes and trends. 				
		<p>KR1: The Council should continue to build on improvements and ensure that the stock condition survey progresses as planned. Stock condition data should be used to inform asset management and capital investment plans and should also be kept updated to manage the Council's housing stock effectively.</p>	TBC	N/A		In progress and on track
		<p>KR2: The Council should continue to strengthen arrangements and ensure</p>	TBC	N/A		In progress and on track

Title and purpose	Date	Outstanding recommendations	Original target date for completion	Revised target date for completion	Status	Progress update
		that stock condition surveys progress sufficiently. Once it is ready to do so, it should seek independent assurance over compliance with relevant standards.				
Assistant Chief Executive's directorate						
LGA Corporate Peer Challenge <i>Expectation that councils receive a peer review every five years to provide robust, strategic, and credible challenge, whilst also enhancing capacity and helping to avoid insularity.</i>	June 2023	Overall outcome: Positive feedback received which stated: "Rotherham Metropolitan Borough Council serves the town well and is today an impressive organisation. Being named the 'Most Improved Council' in the country at the Local Government Chronicle (LGC) Award in 2022 provides ample evidence that it is now in a very good place. It is ambitious and has well-established and robust foundations, along with several notable and commendable practices that other councils can learn from" and seven recommendations made. Overall progress: Action plan agreed by Cabinet in September 2023 included 20 actions which are being progressed. Progress is overseen by the Strategic Leadership Team and where relevant, actions for 2024-25 were included in the Year Ahead Delivery Plan.	Recommendation 3: Use the significant investments underway to expand and attract private sector investment at scale, maximising its potential and supporting a more inclusive economic future.	March 2026	n/a	In progress and on track 1 action remains in progress Note – although situated under ACEX for the purposes of reporting, the Regeneration and Environment Directorate are responsible for implementation of this action.

Status key

Complete	Recommendations/areas for improvement are fully complete
In progress and on track	Recommendation/area for improvement on track to be delivered by the original agreed deadline
In progress and partly delayed	Recommendation/area for improvement progressing, however target date behind the original agreed deadline

Significant delay	Recommendations/area for improvement delayed by more than twelve months past the original agreed deadline
No action required or outcome unknown	No recommendation/area for improvement, or the outcome is not yet known

Residential Children's Homes – Inspection Outcomes

Residential children's homes are inspected by HMI Ofsted under the Social Care Common Inspection Framework (SCCIF) and focus on evaluating the impact of care and support on the experiences and progress of children.

Following inspection, the children's home will receive an overall judgement based on the experiences and progress of children and young people, of Outstanding, Good, Requires Improvement to be Good, or Inadequate.

Where requirements or recommendations are made, an action plan is developed which is submitted to Ofsted detailing the progress.

The Children Act 1989 Guidance and Regulations stipulates the requirement for monthly oversight visits to Children's Homes. These visits, known as Regulation 44 Visits, are carried out under [Regulation 44 of the Children's Homes Regulations 2015](#). All residential children's homes in Rotherham receive an Independent Reg 44 visit monthly, undertaken by an Independent Person from NYAS (an independent children's rights charity). The registered Person from National Youth Advocacy Service (NYAS) seeks independent scrutiny of the home and makes best use of information to ensure continuous improvement, this includes independent oversight of any requirements or recommendations following a previous visit and/ or inspection. Ofsted reviews the content of Regulation 44 reports to inform the next inspection and uses the information to decide if we need to take any other action.

All Ofsted reports are published in the public domain; however the identity (location) of the homes remain confidential and are not disclosed in the reports. Recommendations and progress against recommendations are considered monthly with oversight from the Reg 44 visits and Ofsted. This is more frequent than the Audit Committee schedule and therefore any recommendations and progress against these are not included within these reports as they would be out of date before they were published.

The following table provides the current ratings for our seven registered children's homes.

Residential Children's Home	037521	2662265	2597567	2629335	2775749	2759142	2812398	2832444 (Awaiting first inspection – only registered 16/07/25)
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Date of Full Inspection	11 June 2024	20 May 2025	05 April 2025	06 August 2024	09 September 2025	15 January 2025	20 August 2025	
Overall experiences and progress of children and young people	Good	Good	Good	Requires Improvement to be good	Good	Good	Good	
Sub judgements								
How well children and young people are helped and protected	Good	Good	Good	Requires Improvement to be good	Good	Good	Good	
The effectiveness of leaders and managers	Good	Good	Good	Requires improvement to be good	Good	Good	Good	